

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ping Zhu

Serial No.: 10/043,995

Art Unit: 1642

Filed: January 11, 2002

Examiner: Not Yet Assigned

For: *PRODUCTION METHOD OF MICRO-REACTORS GENE CHIPS*

Assistant Commissioner for Patents  
Washington, D.C. 20231

COMMUNICATION RE: INFORMATION DISCLOSURE STATEMENT

Sir:


An Information Disclosure Statement, including three (3) pages of Form PTO-1449 was filed on July 15, 2002 with respect to the above mentioned U.S. Application. Applicant is unable to provide copies of the following three (3) references and would like to withdraw them from the Information Disclosure Statement. For the convenience of the Examiner, Applicant encloses a new PTO Form-1449 (2 pages).

CRONIN et al., Hybridization to Arrays of Oligonucleotides, Poster Presentation: Nucleic Acids In Medical Applications Conference sponsored by AACCC, published in conference syllabus, Cancun, Mexico, 1993.

LIPSHUTZ et al., Oligonucleotide Arrays for Hybridization Analysis, Genome Sequencing and Analysis Conference V, Hilton Head, SC 1993.

LOBBAN et al., DNA Chips for Genetic Analysis, Genome Sequencing and Analysis Conference V, Hilton Head, SC, 1993.

Respectfully submitted,

  
Zhaoyang Li  
Reg. No. 46,872

Dated: September 16, 2002

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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January 11, 2002

Ping Zhu
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S.No.	Author	Title of the paper		Year	Journal	Page No.
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	10-043,995
Filing Date	January 11, 2002
First Named Inventor	Ping Zhu
Group Art Unit	1642
Examiner Name	
Attorney Docket Number	PKU 100

## OTHER ART, NON-PATENT LITERATURE, DOCUMENTS

Describe the other art, non-patent literature, documents, etc., which are known to the applicant, and which are relevant to the invention, and which are not known to the public.

Block et al., "Using gene expression data to identify candidate genes for DNA repair", *Genome Res.* 10:1031-1042 (2000).

Unger et al., "Analysis of gene expression data from microarray experiments", *Proc. Natl. Acad. Sci. USA* 97:1161-1166 (2000).

Leong et al., "DNA sequencing of human DNA", *Science* 287:153-157 (2000).

MARSHALL et al., "Molecular Biology of the Cell", 4th ed., Garland Science, New York, 1994.

PASTOREK et al., "A system for separating and identifying proteins and peptides from complex mixtures", *Genome Res.* 10:1031-1042 (2000).

SAMBROOK et al., "Molecular Cloning: A Laboratory Manual", 2nd ed., Cold Spring Harbor, New York, 1989.

SANDELL et al., "DNA sequencing with fluorescent dyes", *Proc. Natl. Acad. Sci. USA* 97:1161-1166 (2000).

SOUTHERN et al., "Analysis of gene expression data from microarray experiments", *Proc. Natl. Acad. Sci. USA* 97:1161-1166 (2000).

WATSON et al., "Molecular Biology of the Cell", 4th ed., Garland Science, New York, 1994.

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Small molecule 141-AP10

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Small molecule 141-AP10

Complete if Known

Application Number

10,043,995

Filing Date

January 11, 2002

First Named Inventor

Ping Zhu

Group Art Unit

1642

Examiner Name

Attorney Docket Number

PKU 100

## OTHER ART - NON-PATENT LITERATURE DOCUMENTS

- Below are the other art - non-patent literature documents that are known to the applicant and that are relevant to the invention of the present application. The applicant hereby certifies that the information disclosed herein is true and correct to the best of their knowledge and belief.
- BELOZ, et al. "Gene expression profiling of leukemias using a cDNA microarray technology." *Leukemia* 14: 1111-1116 (2000).
- CHENG, et al. "Analysis of gene expression products and their functions in gene expression using a cDNA microarray." *Cheng et al.* 1999, 1999, 1999.
- DERISI, et al. "Use of a cDNA microarray to analyze gene expression patterns in tumor cells." *Nature Genet.* 14: 457-463 (1997).
- EVER, et al. "Gene expression profiling in the diagnosis of human diseases." *Science* 281: 1331-1333 (1998).
- KAPP, et al. "Gene expression profiling and its application in the study of human diseases." *Exp. Med.* 18: 111-116 (1999).
- KHAN, et al. "Expression profiling in cancer using cDNA microarrays." *Electron Microscopy* 21: 223-224 (1999).
- LOCKHART, et al. "Expression profiling in the study of human diseases." *Nature Genet.* 14: 457-463 (1997).
- RAMSA, et al. "DNA microarray technology." *Nature Biotech.* 17: 441-442 (1999).
- WANG, et al. "Identification of the genes responsive to etoposide-induced apoptosis: application of DNA chip technology." *FEBS Letters* 448: 230-233 (1999).
- WELLMAN, et al. "Identification of genes expressed in the human brain using a cDNA microarray." *Electron Microscopy* 21: 223-224 (1999).

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Fill in the following information as accurately as possible.

Complete if Known

Application Number	10/043,995
Filing Date	January 11, 2002
First Named Inventor	Ping Zhu
Group Art Unit	1642
Examiner Name	
Attorney Docket Number	PKU 100

## **OTHER ART - NON-PATENT LITERATURE DOCUMENTS**

Indicate the date and source of each document, and the date and source of the information disclosed. If the information is from a patent, indicate the patent number and the name of the inventor.

ZHANG et al., Using TCR $\beta$  variable region gene array to identify T cell receptor ligands, *Proc Natl Acad Sci USA* 98:11412-11417 (2001).

ZHU et al., PCR-RT-PCR analysis of B cell in GeneChip, *Proc Natl Acad Sci USA* 98:11412-11417 (2001).

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §1.56 and 37 C.F.R. §1.97, Applicant submits a Supplemental Information Disclosure Statement, including two (2) pages of Form PTO 1449, and copies of the twelve (12) documents cited therein.

It is believed that no fee is required with this submission. However, should a fee be required, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 50-1868.

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## INFORMATION DISCLOSURE STATEMENT CHECKLIST

Client and Matter Name: \_\_\_\_\_ PKU 100

Client #/ Matter #: 081338/00002

Attorney and Due Date      Zhaoyang Li

Check Requested	N/A
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I have checked the following sources for references to be cited in the IDS and/ or Supplemental IDS:

X      Application and PTO Correspondence File      PKU 100

X	Application	PKU 100
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IDS/ Supp. IDS

Office Action/ PTO-892

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Other \_\_\_\_\_

PCT File

PCT Written Opinion

International Search Report

X	Other	References cited by Ping Zhu
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Suggestions/Requests for other sources:

Erica C. Boughner



ISSN: 10043995  
Printed: January 11, 2002  
SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT

### Publications

BELOV, et al., "Immunophenotyping of leukemias using a cluster of differentiation antibody microarray," *Cancer-Res.* 61(11): 4483-4489 (2001).

CHENG, et al., "Analysis of ligase chain reaction products amplified in a silicon glass chips using capillary electrophoresis," *J. Chromatog.* 732: 151-158 (1996).

DERISI, et al., "Use of a cDNA microarray to analyze gene expression patterns in human cancer," *Nature Genet.* 14: 457-460 (1996).

IYER, et al., "The transcriptional program in the response of human fibroblast to serum," *Science* 283(1): 83-87 (1999).

KAPP, et al., "Interleukin 13 is secreted by and stimulates the growth of Hodgkin and Reed-Sternberg cells," *Journal Exp. Med.* 189(12): 1939-1945 (1999).

KHAN, et al., "Expression profiling in cancer using cDNA microarrays," *Electrophoresis* 20: 223-229 (1999).

LOCKHART, et al., "Expression monitoring by hybridization to high-density oligonucleotide arrays," *Nature Biotechnol.* 14: 1675-1680 (1996).

RAMSAY, "DNA chips: State of art," *Nature Biotechnol.* 16(1): 40-44 (1998).

WANG, et al., "Identification of the genes responsive to etoposide-induced apoptosis: application of DNA chip technology," *ELBS Letters* 445: 269-273 (1999).

WELLMAN, et al., "Detection of differentially expressed genes in lymphomas using cDNA arrays: identification of clustering as a new diagnostic marker for anaplastic large cell lymphomas," *Blood* 96(2): 398-404 (2000).

ZHANG, et al., "Using TCR b variable region gene array in reflecting the alteration of disease lymphocytic clonality," *Chin. J. Birth Health Heredity* 9(6): 1-4 (2001).

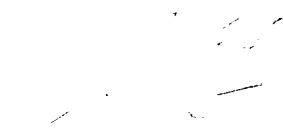
ZHU, et al., "PCR (Polymorase Chain Reaction) Gene Chips," *J. Peking Univ. (medicine)* September 2002, in press.

USPN 10,043,995  
Filed January 11, 2002  
SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT

### Remarks

This statement should not be interpreted as a representation that an exhaustive search has been conducted or that no better art exists. Moreover, Applicant invites the Examiner to make an independent evaluation of the cited art to determine its relevance to the subject matter of the present application. Applicant is of the opinion that his claims patentably distinguish over the art referred to herein, either alone or in combination.

Respectfully submitted,



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Zhaoyang Li  
Reg. No. 46,872

Dated: September 16, 2002

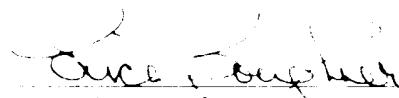
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DISCLOSURE STATEMENT

**Certificate of Mailing under 37 CFR §1.8(a)**

I hereby certify that this Supplemental Information Disclosure Statement, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: September 16, 2002

  
Erica C. Boughner

ATTN #74101631